

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re Application of:

William R. Patterson, et al.

Serial No.: 10/071,702

Filed: February 8, 2002

For: Apparatus for Blood Oxygenation

§ Confirmation No.: 2643

§ Customer No.: 27405

§

§ Group Art Unit: unassigned

§

§ Examiner: unassigned

§

§ Atty. Docket: PA84-US

COPY OF PAPERS  
ORIGINALLY FILED

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OCT 25 2002

OFFICE OF PETITIONS

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

4/19/02  
Date

Tamara J. McGovern

Dear Sir:

PETITION UNDER 37 C.F.R. § 1.182  
IN RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

This Petition is being filed in response to the Notice to File Corrected Application Papers mailed on March 1, 2002 to correct the informalities. The notice states that the above-referenced application appeared to have page 47 of the specification omitted at the time of filing on February 8, 2002. The applicant contends that page 47 of the specification was in fact deposited in the United States Patent and Trademark Office with the nonprovisional application papers on February 8, 2002.

Applicant respectfully submits evidence of such deposit with the following documents:

(Exhibit A) Copy of the return postcard stamped by the USPTO, acknowledging receipt of 56 pages of the Specification.

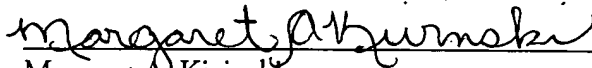
(Exhibit B) Statement of Margaret A. Kivinski.

Adjustment date: 01/24/2003 AKELLEY  
05/02/2002 HBERHE 00000059 501769 10071702  
01 FC:122 130.00 CR

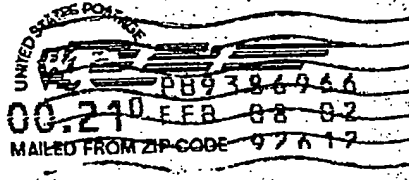
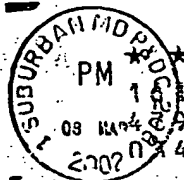
Accordingly, it is respectfully requested that the attached documents be accepted and entered in the file prior to the Examiner's initial consideration of the above-referenced application.

Respectfully submitted,

Date: April 18, 2002

  
Margaret A. Kivinski  
Reg. No. 38,517  
THEROX, INC.  
2400 Michelson Drive  
Irvine, California 92612  
(949) 757-1999

(Exhibit A)



Margaret A. Kivinski, Esq.  
THEROX, INC.  
2400 MICHELSON DRIVE  
IRVINE, CALIFORNIA 92612

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13



COPY OF PAPERS  
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Please indicate receipt of  
the below-identified paper:

☒ New application

☐ Continuation

☒ Divisional

☐ CIP

☐ CPA

☐ Response to Office Action dated \_\_\_\_\_

☐ Final Rejection

☒ Other Preliminary Amendment, Declaration, Power of Attorney, Utility Patent Transmittal, Fee Transmittal, Transmittal of/and Information Disclosure Statement (Form PTO-1449).

Express Label EL 842829991 US

☐ Assignment (incl. cover sheet)

☐ Cert. Of Timely Mailing

☒ Express Mail

Identification of Application:

Serial No. Unassigned

Confirmation No. \_\_\_\_\_

Title APPARATUS FOR BLOOD OXYGENATION (as amended)

Applicant William R. Patterson et al.

Client TherOx Inc. Customer No. 27405

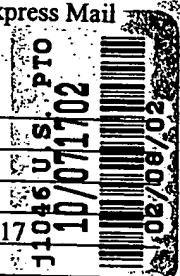
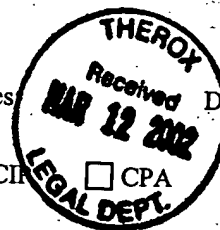
Docket No. PA084-US

Attorney Margaret A. Kivinski, Reg. No. 38,517

Mailed February 8, 2002

Filed Herewith

Due Date \_\_\_\_\_



(Exhibit B)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William R. Patterson, et al.

Serial No.: 10/071,702

Filed: February 8, 2002

For: Apparatus for Blood Oxygenation

§ Confirmation No.: 2643

§ Customer No.: 27405

§

§ Group Art Unit: unassigned

§

§ Examiner: unassigned

§

§ Atty. Docket: PA84-US

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Assistant Commissioner  
for Patents  
Washington, D.C. 20231

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37 C.F.R. 1.8

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4/19/2002  
Date

Tamara J. McGovern  
Tamara J. McGovern

Dear Sir:

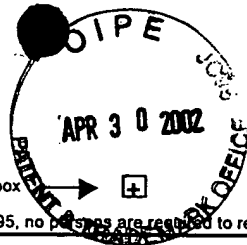
STATEMENT OF MARGARET A. KIVINSKI

1. I am attorney of record for the above-referenced matter.
2. I filed the patent application papers for this application on February 8, 2002, including 1 page of Abstract, 12 pages of Claims, and 56 pages of specification, as detailed on the post card submitted therewith.
3. In accordance with my usual practice, I reviewed the papers to be filed and found all pages present, signed the Transmittal form (a copy of which is attached), sealed the Express Mail envelope and had it deposited with the US postal service.
4. I believe page 47 of the specification, of which the United States Patent and Trademark Office is not in receipt, was included in the application papers submitted on February 8, 2002.

Respectfully submitted,

Date: April 18, 2002

Margaret A. Kivinski  
Margaret A. Kivinski  
Reg. No. 38,517  
THEROX, INC.  
2400 Michelson Drive  
Irvine, California 92612  
(949) 757-1999



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OCT 25 2002

PTO/SB/05 (03-01)

Please type a plus sign (+) inside this box



Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

PA084-US

First Inventor

William R. Patterson

Title

Apparatus for Blood Oxygenation (as amended)

Express Mail Label No.

EL 842829991 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **69**]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **11**]
5. Oath or Declaration [Total Pages **3**]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)of prior application No.: **09,409,952**

Prior application information:

Examiner **Patricia Bianco**Group Art Unit: **3762**For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Margaret A. Kivinski

Registration No. (Attorney/Agent)

38, 517

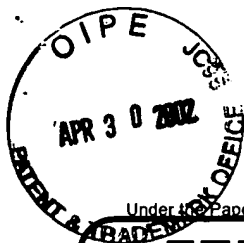
Signature

Date

February 8, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 130.00

## Complete if Known

Application Number 10/071,702  
Filing Date February 8, 2002  
First Named Inventor William R. Patterson  
Examiner Name Unassigned  
Group Art Unit Unassigned  
Attorney Docket No. PA084-US

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## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number  
Deposit Account Name

501769

TherOx, Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) <u>Petition Fee under 37 CFR 1.17(h)</u>			130.00
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$ )			130.00

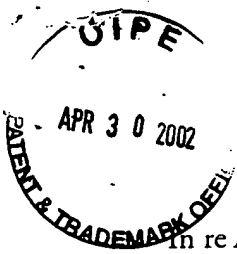
## SUBMITTED BY

Name (Print/Type)	Margaret A. Kivinski	Registration No. (Attorney/Agent)	38,517	Telephone	(949) 757-1999
Signature	Margaret A. Kivinski	Date	April 18, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William R. Patterson, et al.

Serial No.: 10/071,702

Filed: February 8, 2002

For: Apparatus for Blood Oxygenation

§ Confirmation No.: 2643

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§ Group Art Unit: unassigned

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§ Examiner: unassigned

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§ Atty. Docket: PA84-US

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OCT 25 2002

OFFICE OF PETITIONS

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

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37 C.F.R. 1.8

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4/19/02  
Date

Tamara J. McGovern

Dear Sir:

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

In response to the Notice to File Corrected Application Papers dated March 1, 2002, attached are the following papers: (1) the substitute abstract in compliance with 37 C.F.R. § 1.72(b), in which no new matter is added, (2) Page 47 of the specification that was filed with the nonprovisional application papers on February 8, 2002, (3) a copy of the Notice to File Corrected Application Papers dated March 1, 2002, (4) a Petition in compliance with 37 C.F.R. § 1.182, and (5) the Petition Fee under 37 C.F.R. 1.17(h).

If the enclosed Petition is not granted or deemed unnecessary, Applicants hereby request entry of page 47 into the specification by way of amendment. As this application is a divisional application of co-pending application Serial No. 09/409,952 which is incorporated by reference, and which contains the same page 47 in its specification, no new matter is added and entry of page 47 by way of amendment is believed permissible.

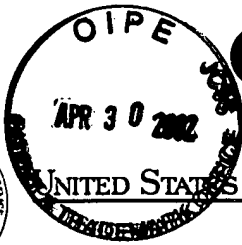
10031300 042000

Accordingly, it is respectfully requested that the attached documents be accepted and entered in the file prior to the Examiner's initial consideration of the above-referenced application.

Respectfully submitted,

Date: April 18, 2002

Margaret A. Kivinski  
Margaret A. Kivinski  
Reg. No. 38,517  
THEROX, INC.  
2400 Michelson Drive  
Irvine, California 92612  
(949) 757-1999



10071702 043002

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Page 1 of 2

0360

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,702	02/08/2002	William R. Patterson	PA084-US

27405  
THEROX, INC.  
2400 MICHELSON DRIVE  
IRVINE, CA 92612RECEIVED  
OCT 25 2002CONFIRMATION NO. 2643  
FORMALITIES LETTER

\*OC000000007559791\*

OFFICE OF PETITIONS

Date Mailed: 03/01/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

05/02/2002 MBERHE 00000059 501769 10071702

01 FC:122 130.00 CH

## Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

The following item(s) appear to have been **omitted** from the application:

- Page(s) **47** of the specification (description and claims).

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete

sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

---

*A copy of this notice **MUST** be returned with the reply.*

*HB*

---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JANUARY 05, 2000

FLETCHER, YODER & VAN SOMEREN  
MICHAEL G. FLETCHER  
7915 FM 1960 WEST, SUSITE 330  
HOUSTON, TX 77070

PTAS  
**RECEIVED**

JAN 10 2000



\*101173519A\*

Fletcher, Yoder & Van Someren

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/30/1999

REEL/FRAME: 010296/0569  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PATTERSON, WILLIAM R.

DOC DATE: 09/30/1999

ASSIGNOR:

MYRICK, STEPHEN E.

DOC DATE: 09/30/1999

ASSIGNOR:

DIVINO, JR., VINCENT

DOC DATE: 09/30/1999

ASSIGNOR:

CREECH, JEFFREY L.

DOC DATE: 09/30/1999

ASSIGNOR:

BUHR, MARK S.

DOC DATE: 09/30/1999

ASSIGNEE:

THEROX, INC.

2400 MICHELSON DRIVE

IRVINE, CALIFORNIA 92612-1310

010296/0569 PAGE 2

SERIAL NUMBER: 09409952  
PATENT NUMBER:

FILING DATE:  
ISSUE DATE:

MAYA BENNETT, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

10-14-1999



101173519

U.S. Department of Commerce  
Patent And Trademark Office

To the Honorable Commissioner of Patents and Trademarks

Attached original document or copy thereof.

## 1. Name of conveying party(ies):

William R. Patterson, Stephen E. Myrick,  
Vincent Divino, Jr., Jeffrey L. Creech, Mark S. Buhr,

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: September 30, 1999

## 2. Name and address of receiving party(ies):

Name: TherOx, Inc.

Internal Address:

Street Address: 2400 Michelson Drive

City: Irvine State: California Zip: 92612-1310

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration number(s): Unassigned

If this document is being filed together with a new application, the execution date of the application is: Application Filed  
September 30, 1999

A. Patent Application No.(s): Unassigned

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael G. Fletcher

Internal Address: FLETCHER, YODER & VAN SOMEREN  
P.O. Box 692289  
Houston, Texas 77269-2289Street Address: 7915 FM 1960 West, Suite 330  
Houston, Texas 77070

## 6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41)----- \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph A. Uradnik

Name of Person Signing

Signature

September 30, 1999

Date

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